

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Richard E. Smalley et al.

Serial No.: 10/034,745

Filed: December 28, 2001

Confirmation No.: 2473

Art Unit: 1745

Examiner: John S. Maples

Title: METHOD FOR FORMING AN ARRAY
OF SINGLE-WALL CARBON NANOTUBES
AND COMPOSITIONS THEREOF

MAILING CERTIFICATION
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence and supporting documents are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on April 8, 2004.



GRACIE SOLIS

TRANSMITTAL FOR AMENDMENT UNDER 37 C.F.R. § 1.111

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

In connection with the above-identified patent application, Applicant transmits herewith the following: (1) Amendment Under 37 C.F.R. § 1.111 with Exhibit A, (2) Change of Correspondence Address (PTO/SB/122), and (3) Acknowledgment postcard

- No additional fee is required.
- The fee has been calculated as shown below:

The fee for claims (37 C.F.R. §1.16(b)-(d)) has been calculated as shown below:

CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims:	11	-	27	1	x \$9 =	\$ - 0.00 -
Independent Claims:	7	-	11	1	x \$43 =	\$ - 0.00 -
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT = \$ - 0.00 -						

A check in the amount of \$ 00.00 is enclosed to cover the costs for the additional claim (37 C.F.R. § 1.16(c)).

Please charge Deposit Account No. 23-2426 (11321-P012USD3) in the amount of \$0. A **duplicate copy of this transmittal letter is enclosed.**

The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account No. 23-2426 (11321-P012USD3). A **duplicate copy of this transmittal letter is enclosed.**

Any additional filing fees required under 37 C.F.R. § 1.16.

Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicant

By:



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11321-P012USD3



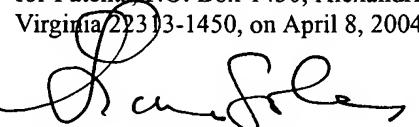
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Dear Sir:

This is in response to the Office Action ("Paper No. 10") having a mailing date of January 15, 2004, with a three-month shortened statutory period for response set to expire on April 15, 2004.

Amendments to the Title begin on page 2 of this paper.

Amendments to the Abstract begin on page 3 of this paper.

Amendments to the Claims begin on page 4 of this paper.

Remarks/Arguments begin on page 6 of this paper.